Please type a plus sign (+) inside this box -> +

PTO/SB/21 (12-97)
Approved for use through 9/30/00. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Parenting to respect to a collection of information unless it displays a

| valid OMB control number. | | | collection of information unless it displays a | _ | | |
|--|--|-----------------------------|--|------------------|--|--|
| | | Application Number | 09/900,535 | | | |
| TRANSMITT | AL | Filing Date | 07/06/2001 | 07/06/2001 | | |
| FORM | | First Named Inventor | G. Fisher, et al | G. Fisher, et al | | |
| (to be used for all correspondence after | er initial filing) | Group Art Unit | 1616 | | | |
| | | Examiner Name | NA | | | |
| Total Number of Pages in This Subm | ission 30 | Attorney Docket Number | er 1718-011A | ر | | |
| | ENCLOS | SURES (check all that ap | oply) | | | |
| Fee Transmittal Form | Assignm (for an | nent Papers Application) | After Allowance Communicati to Group | ion | | |
| Fee Attached | Drawing | | Appeal Communication to Boo of Appeals and Interferences | | | |
| Amendment / Response | Licensir | ng-related Papers | Appeal Communication to Gro | | | |
| After Final | | Routing Slip (PTO/SB/69) | Proprietary Information | | | |
| Affidavits/declaration(s) | To Con | | Status Letter | | | |
| Extension of Time Request | | of Attorney, | Additional Enclosure(s) (please identify below): | | | |
| Express Abandonment Request | | al Disclaimer | 17 pgs of drawings, submission of formal | | | |
| | | ntity Statement | drawings, 2 copies of fee tml, return receipt postcard | j | | |
| Certified Copy of Priority | | st for Refund | | | | |
| Document(s) | Remarks | _ | | | | |
| Response to Missing Parts/ Incomplete Application | | | | | | |
| Response to Missing Parts under 37 CFR 1.52 or 1.53 | | | | | | |
| SIGNATU | RE OF APPLI | CANT, ATTORNEY, OR | AGENT | | | |
| Firm or Individual name | Bradley N. Ruben Registration No. 32,058 | | | | | |
| Signature Bradle N. Her | | | | | | |
| Date 10 October 2001 | | | | | | |
| CERTIFICATE OF MAILING | | | | | | |
| I hereby certify that this a prespondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: | | | | | | |
| Typed or printed name | Typed or printed name Heather A. McLennand | | | | | |
| Signature Hother C | a. Merten | panel Date | e 10 October 2001 | | | |

Burden Hour Statement: This form is estimated to take 6.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PTO/SB/17 (10-01)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE user the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 960.00

| Complete if Known | | | | |
|----------------------|------------------|--|--|--|
| Application Number | 09/900.535 | | | |
| Filing Date | 07/06/2001 | | | |
| First Named Inventor | G. Fisher, et al | | | |
| Examiner Name | NA | | | |
| Group Art Unit | 1616 | | | |
| Attorney Docket No. | 1718-011A | | | |

| METHOD OF PAYMENT | | | FEE CALCULATION (continued) | | | | | | |
|--|-------------------------------------|--|-----------------------------|-------------|--------|-----------------|----------|--|----------|
| 1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: | | 3. A | DDIT | ION | AL FE | ES | | | |
| Deposit | icated fees an | d credit any overpayment | s to: | | Larg | | Sma | | |
| Account Number | | 08-2776 | | Fee Code | | y Fee Cod | | y Fee Description | Fee Paid |
| Deposit Account Name | Hop | ogood, Calimafde, e | t al | 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | 130.00 |
| Char | ge Any Additiona r 37 CFR 1.16 a | al Fee Required | | 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| | cant claims sma | | | 139 | 130 | 139 | 130 | Non-English specification | |
| | 37 CFR 1.27 | | | 147 | 2,520 | 147 | 2,520 | For filing a request for ex parte reexamination | |
| 2. ∐ Pay | yment Enclo | osed: | | 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| | | CALCULATION | Other | 113 | 1,840* | 113 | 1,840 | Requesting publication of SIR after Examiner action | |
| 4 84616 | • | | | 115 | 110 | 215 | 55 | Extension for reply within first month | |
| 1. BASIC | FILING FE tity Small Ent | • | <i>:</i> | 116 | 400 | 216 | 200 | Extension for reply within second month | |
| Fee Fe | e Fee Fee | | For Dold | 117 | 920 | 217 | 460 | Extension for reply within third month | |
| Code (\$) | | Littliby filling foo | Fee Paid | 118 | 1,440 | 218 | 720 | Extension for reply within fourth month | |
| 101 740 | | Utility filing fee | 710.00 | 128 | 1,960 | 228 | 980 | Extension for reply within fifth month | |
| 107 510 | | Design filing fee Plant filing fee | - | 119 | 320 | 219 | 160 | Notice of Appeal | |
| 107 310 | | Reissue filing fee | | 120 | 320 | 220 | 160 | Filing a brief in support of an appeal | |
| 114 160 | | Provisional filing fee | | 121 | 280 | 221 | 140 | Request for oral hearing | |
| 114 100 | 214 00 | <u> </u> | | 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| | | SUBTOTAL (1) (\$) | 710.00 | 140 | 110 | 240 | 55 | Petition to revive - unavoidable | |
| 2. EXTRA | CLAIM FE | | | 141 | 1,280 | 241 | 640 | Petition to revive - unintentional | |
| | | Fee fi Extra Claims belo | | 142 | 1,280 | 242 | 640 | Utility issue fee (or reissue) | |
| Total Claims | 10 -2 | 0** = (1) X (1× | = | 143 | 460 | 243 | 230 | Design issue fee | |
| Independent Claims | 4 - | 3** = 1 X XI | = 80.00 | 144 | 620 | 244 | 310 | Plant issue fee | |
| Multiple Depe | ndent | | = | 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| | | | | 123 | 50 | 123 | 50 | Processing fee under 37 CFR 1.17(q) | |
| Large Entit | y Small Entit | ty Fee Description | | 126 | 180 | 126 | 180 | Submission of Information Disclosure Stmt | |
| Code (\$) 103 18 | Code (\$) 203 9 | Claims in excess of 20 | | 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | 40.00 |
| 102 84 | 202 42 | Independent claims in a | | 146 | 740 | 246 | 370 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 104 280 109 84 | 204 140 209 42 | Multiple dependent clai ** Reissue independent over original patent | | 149 | 740 | 249 | 370 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 110 18 | 210 9 | ** Reissue claims in ex | cess of 20 | 179 | 740 | 279 | 370 | Request for Continued Examination (RCE) | |
| | | and over original pate | ent | 169 | 900 | 169 | 900 | Request for expedited examination of a design application | |
| | SU | JBTOTAL (2) |) 80.00 | Other | fee (s | pecify |)_ | | 4.50.00 |
| • or numbe | r previously p | aid, if greater; For Reissu | es, see above | *Red | uced b | y Basi | c Filing | Fee Paid SUBTOTAL (3) (\$) | 170.00 |

| SUBMITTED BY Complete (if applicable) | | | | | | | |
|---------------------------------------|------------------|--------------------------------------|--------|-----------|----------------|--|--|
| Name (Print/Type) | Bradley N. Ruben | Registration No. (Attorney/Agent) | 32,058 | Telephone | (212) 551-5000 | | |
| Signature | Bredy N. | Pla | | Date | 10 Oct. 2001 | | |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.



IN THE UNITED STATES PATENT & TRADEMARK OFFICE

| Ser. No.: Intl. File Date | | Inventor(s): | Atty Dkt: | |
|-------------------------------|-----------------|------------------|-----------|--|
| 09/900,535 7/06/2001 | | G. Fisher, et al | 1718-011A | |
| Title: UVA (360-4 SUNSCREE | 0-325) SPECIFIC | Group 1616 | | |

Asst. Comm'r for Patents Washington, D.C. 20231-0001

Response to Notice of Missing Requirements

Dear Sir:

In complete and timely response to the Notice to File Missing Parts of Nonprovisional Application, attached are the executed Declaration/Power of Attorney and Submission of Formal Drawings, and Assignment. Also included is a ok to debit the deposit account \$ 130.00 surcharge for late filing of the Declaration and \$ 40.00 for the assignment, \$80.00 for independent claims over three, and \$710.00 for basic filing fee...

If any other fees due under 37 C.F.R. §1.492(e), are required by this submission or if there has been an overpayment, please charge or credit the same to our Deposit Acct. No. 08-2776.

CERTIFICATE OF MAILING OR TRANSMISSION - 37 CFR 1.8

I hereby certify that I have a reasonable basis that this paper, along with any referred to above, (i) are being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231, or (ii) are being transmitted to the U.S. Patent & Trademark Office in accordance with 37 CFR § 1.6(d).

Respectfully submitted,

Bradley N. Ruben Reg. No. 32,058

Hopgood, Calimafde,

Judlowe & Mondolino, LLP

60 East 42nd Street New York, NY 10165

212-551-5000

fax 212-949-2795

10 Oct. 2001